



# Uncertainty of Point Clouds Part 1/2

Advanced Techniques for Mobile Sensing and Robotics (Geodesy Track)



Dr.-Ing. Christoph Holst SS 2020





- 6.1 Basics
- 6.2 Error sources at laser scanning
- 6.3 Strategies for minimizing the uncertainty
- 6.4 Stochastic model of single static laser scans
- 6.5 Stochastic model of geo-referenced point clouds
- 6.6 Determining the uncertainty of existing point clouds







- Which error sources make a point cloud uncertain?
- How do these errors contribute to the uncertainty?
- How can they be minimized?







- 6.1 Basics
- 6.1.1 Visual inspection of uncertainty
- 6.1.2 Error types and their treatment
- 6.2 Error sources at laser scanning
- 6.3 Strategies for minimizing the uncertainty





## **High-end terrestrial laser scanners**







## Smoothness (Leica P50)





Folie 6



# Smoothness (Z+F Imager 5016)





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Courtesy: Lasse Klingbeil & Erik Heinz



## **Uncertainty?**







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## Separation of two scans







### Face 1 vs. Face 2





- Quantity: millimeters, even at short distances
- Visible in smooth point cloud



## Face 1 minus Face 2





- Errors of up to +/- 1.5 mm at 10m distance
- Dependend on vertical angle and horizontal angle
- Consequence: offset between face 1 and face 2
- Other probable consequences: innere deformation of scanned objects, tilt or bending of object

## => Errors exist in any case, maybe just visually hidden!









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	Outliers	Systematic errors	Random errors	
Appear- ance	Extremely erroneous individual measurements	One-sided effects	Gaußian distributed (positive and negative, more often small than large)	
Source	Mistakes of operator, Inappropriate used of instrument	Imperfection of measurement procedure, insufficient calibration of instrument	Fluctuations not trackable by calibration, uncontrolled changes of measured object and environment	
Minimi- zing	Avoidance by care and control	Calibration, math. compensation, measurem. strategies	Averaging by multiple measurements	
Quanti- tative measure	_	Bias / absolute accuracy	Precision / relative accuracy	
	Uncertainty			





## **Error sources at laser scanning**





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- 6.1 Basics
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- 6.2.1 Instrument
- 6.2.2 Atmosphere
- 6.2.3 Geometry / Object
- 6.2.4 Geo-referencing
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#### => Equals collimation axis error at total stations



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## Horizontal axis error





#### => Equals horizontal axis errors at total stations









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# **Calibration parameters**



Description	Parameter	TS	2-faces	high-end		
Horizontal beam offset	x <sub>1n</sub>	similar	no	yes		
Vertical beam offset	x <sub>1z</sub>	no	yes	yes		
Horizontal axis offset	X <sub>2</sub>	similar	yes	yes		
Mirror offset	X <sub>3</sub>	no	yes	yes		
Vertical index offset	x <sub>4</sub>	yes	yes	yes		
Horizontal beam tilt	x <sub>5n</sub>	similar	yes	yes		
Vertical beam tilt	x <sub>5z</sub>	similar	no	yes		
Mirror tilt	x <sub>6</sub>	yes	yes	yes		
Horizontal axis error (tilt)	X <sub>7</sub>	yes	yes	yes		
Horizontal angle encoder eccentricity	x <sub>8x</sub>	yes	yes	no		
Horizontal angle encoder eccentricity	X <sub>8v</sub>	yes	yes	no		
Vertical angle encoder eccentricity	x <sub>9n</sub>	yes	yes	no		
Vertical angle encoder eccentricity	X <sub>9z</sub>	yes	no	no		
Second order scale error in the horizontal angle encoder	X <sub>11a</sub>	yes	no	no		
Second order scale error in the horizontal angle encoder	x <sub>11b</sub>	yes	no	no		
Second order scale error in the vertical angle encoder	x <sub>12a</sub>	yes	yes	no		
Second order scale error in the vertical angle encoder	x <sub>12b</sub>	yes	no	no		
Rangefinder offset	x <sub>10</sub>	yes	no	yes		
Rangefinder scale error	/	yes	no	yes		
Rangefinder cyclic error	/	yes	no	yes*		
* existing only in TLSs using phase-shift distance measuring or inciple						

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## **Functional model**









- Systematic and random errors of angular and range measurements
- Sources similar to total station, but more complex
- Impacts on point cloud large (larger than smoothnes / random errors)
- Here: 3D panoramic scanner
- 2D profile scanner analogous, but with less error sources







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Time of flight principle  $r = \frac{1}{2} \cdot c \cdot t$ 

- c: speed of light in atmosphere
- *t*: time of flight

$$c = \frac{c_0}{n}$$

- c<sub>0</sub>: speed of light in vacuum (299 792 458 m/s)
- n: refraction index in atmosphere

$$r=\frac{1}{2}\cdot\frac{c_0}{n}\cdot t$$

Phase shift principle

$$r = \frac{1}{2} \cdot \left( N \cdot \lambda + \frac{\Delta \varphi}{2\pi} \cdot \lambda \right)$$

- N: number of waves
- $\lambda$ : wavelength
- $\Delta \varphi$ : phase shift
- *f*: frequency

$$\cdot \left(N + \frac{\Delta \varphi}{2\pi}\right) \cdot \frac{c_0}{n}$$

 $\lambda = \frac{c}{c}$ 





#### $\Delta n \cdot 10^{-6} = -0,99 \cdot \Delta t + 0,28 \cdot \Delta p - 0,04 \cdot \Delta e$

- $\Delta n$ : Change of refraction index...
- $\Delta t$ : Change of temperature...
- $\Delta p$ : Change of air pressure...
- $\Delta e$ : Change of air humidity...

... in relation to reference atmosphere

## Temperature: 1°C = - 1 ppm Air pressure: 3 hPa = + 1 ppm Air humidity: 25 hPa = - 1 ppm (neglectable)

- Neglectable in close range applications
- At larger distances (> 100m) presumably important









- Atmospheric correction in ppm
- Reference atmosphere: 12°C, 1013.25 hPa, 60%
- Here: carrier wavelength of 700 nm

Courtesy: Thomas Schäfer



## **Refraction: theory**





- Atmosphere composes of horizontal layers with varying density
- Vertical gradient of refraction index leads to curved beam
- Measured distance is assigned to biased angle (refraction angle  $\beta$ )
- Hard to compensate due to unknown layering of atmosphere
- Avoiding by measuring during low atmospheric turbulence











- Systematic errors due to atmospheric effects
- Important for longer distances and at changing atmospheric conditions
- In any case: mathematical correction of propagation delay







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# Angle of incidence

#### Reflectance



#### Distance




# **Precision** $\propto$ **Intensity**



#### ISPRS Journal of Photogrammetry and Remote Sensing 125 (2017) 146-155



### An intensity-based stochastic model for terrestrial laser scanners

D. Wujanz a,\*, M. Burger a, M. Mettenleiter b, F. Neitzel a

<sup>a</sup> Technische Universit
üt Berlin, 10623 Berlin, Germany
<sup>b</sup> Zoller + Iröhlich GmbH, 88239 Wangen im Allg
äu, Germany

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Received 8 September 2016
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Received 8 September 2016 Received in revised form 13 December 2016 Accepted 15 December 2016 Available online 1 February 2017

Keywords: Terrestrial laser scanning Rangefinder Stochastic modelling Precision Individual point quality Up until now no appropriate models have been proposed that are capable to describe the stochastic characteristics of reflectoriess rangefinders - the key component of terrestrial laser scanners. This state has to be rated as unsatifactory especially from the perception of Geodey where comprehensive knowledge about the precision of measurements is of vital importance, for instance to weigh individual observations or to reveal outliers, in order to tackle this problem, a novel intensity-based stochastic model for the reflectoriess rangefinder of a Zoller + Fröhlich Imager 5006 h is experimentally derived. This model accommodates the influence of the interaction between the emitted signal and object surface as well as the acquisition configuration on distance measurements. Based on two different experiments the stochastic model has been successfully verified for three chosen sampling rates. 0 2016 Intermational Society for Photogrammetry and Remote Sensing Inc. (EMPK). published by Bsevier

by the object's surface.

the instrument mechanism:

the atmospheric conditions;

the acquisition configuration.

. the properties of the object's surface; and

by TLS, namely:

two categories.

1.1 Related work

(RL-EDM). The advantage of these RL-EDMs is that distances can be determined between an instrument and an object point without

the necessity of bringing artificial reflectors, such as prisms or

markers, into the object space. Yet, some requirements exist for

reflectorless rangefinders namely, that the object is not translucent

and that a certain amount of the emitted signal has to be reflected

subject to various falsifying effects during its way on an uncon-

trolled optical path, Soudarissanane et al. (2011) declare four main

categories that influence the quality of individual points captured

While various authors do not distinguish between systematic

and random errors in their contributions (see next paragraph), this

article will strictly focus on stochastic signals in reflectorless rangefinders. Hence, effects are analysed that are caused by the last

The use of appropriate stochastic models is of particular

importance for tasks such as sensor calibration of terrestrial laser

Moreover it can be established that the emitted laser signal is

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#### 1. Introduction

Terrestrial laser scanners (TLS) have reached a high level of acceptance in the field of Geodesy and are consequently used in various fields of applications, for instance kinematic laser scanning (Böder et al., 2010), deformation monitoring (Lindenbergh and Pietrzyk, 2015), cultural heritage (Böhler and Marbs, 2004) and bio mass estimation (Tilly et al., 2013). As for all other surveying instruments, the achievable precision or measurement noise is used, among other characteristics, to decide whether a specific sensor is suitable to fulfil a particular task or not. Furthermore, this information is also vital for identification of outliers, statistically significant identification of deformation, comparison of different laser scanners, and weighting of individual observations in an adjustment. For these reasons, the assumed precision of observations is gathered within the stochastic model.

Regarding the noise of TLS observations it is emphasised that after more than a decade of intensive research no appropriate stochastic model has been published (e.g. Böhler et al., 2003; Soudarissanane, 2016) - a circumstance which has to be rated as unsatisfactory from a geodetic point of view. The reason why the stochastic properties are not well understood up to this point can be associated to the component that lead to the development of laser scanners, namely reflectorless rangefinders (RI-RF) that are also referred to as electro-optic distance measurement units

\* Corresponding author.

E-mail address: daniel.wujanz@tu-berlin.de (D. Wujanz).

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D. Wujanz, M. Burger, M. Mettenleiter, F. Neitzel (2017): **An intensity-based stochastic model for terrestrial laser scanners**, ISPRS J. Photogram. Remote Sens., 125 (3), 146-155











# **Reflectance (material)**











# Rotational speed of laser scanner





- *a*, *b* to be estimated per scanner model and measurement frequency
- Raw intensities needed, scaled intensities are only limitedly valid

Courtesy: Daniel Wujanz







### 1D-mode (Wujanz et al. 2017)

- Repetitive measurements without mirror rotation
- Varying distances, materials, angles of incidence
- Std. dev. + averaged intensity

## 2D-mode (Heinz et al. 2018)



### 3D-mode (Schmitz et al. 2019)

- Scanning printed pages with varying grey scale
- Fitting of plane throught 3D scans + averaged intensity
- Scaled intensity sometimes also usable





# Quantifying random errors





$$\sigma = a \cdot intensity^b$$

Leica ScanStation P20, 1.6mm @ 10m, Quality level 1

• 
$$a = 60.34 \frac{mm}{inc}$$
,  $\sigma_a = 3.67 \frac{mm}{inc}$ 

• b = -0.61,  $\sigma_b = 0.01$ 

Courtesy: Berit Schmitz

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### Courtesy: Daniel Wujanz





- Laser Radar Equation only explains random errors
- Further systematic errors exist due to physical and geometric effects
- Errors due to varying **reflectance** of the surface
- Errors due to large **angles of incidence**
- Errors due to transmission / penetration of the surface
- Errors due to measurements on **edges**
- Errors due to **mixed pixels**
- Errors due to total reflection





# **Reflectance of the surface**





- Up to now no physical parameterization
- Error due to distance and reflectance
- 70% compensatable

ID	Reflectance†	Material	
S99	<b>99</b> %	Spectralon	
S80	87 %	Spectralon	
S40	47 %	Spectralon	
S05	7 %	Spectralon	
KaH	102 %	white cardboard	
KaG	81 %	grey cardboard	
KaS	10 %	black cardboa.d	
MeW	650 %	aluminum	

















# **Angle of incidence: geometry**





α / r	3,5 m	10 m	20 m	30 m	50 m	70 m	100 m
<b>15°</b>							
30°							
45°					+10 µm	+10 µm	+10 µm
60°			+10 µm	+10 µm	+20 µm	+20 µm	+30 µm







- Deformation of energy wave front if reflected with non-zero angle of incidence
- Intensities are pulled together at  $\Delta r_1$  and pulled apart at  $\Delta r_2$
- Intensity distribution at photo detector shifted towards  $\Delta r_1$  by time shift  $\Delta t_1$
- Consequence is shortened distance measurement



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# Angle of incidence: empirical values





- Bias in distance measurement up to -1.6mm (Z+F Imager 5016)
- Dependent on distance => laser spot size
- Dependent on angle of incidence => laser spot deformation







- a) Laser beam
- b) Reflection at cuticula
- c) Transmission through epidermis
- d) Absorption by chlorophyll
- e) Reflection at cell wall
- f) Complete transmission















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# **Total reflection**





• Bias or outlier?





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# **Static laser scanning**





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## Impact of uncertainty





- Bias / absolute accuracy: complete scans
- Precision / relative accuracy: only at overlaps



# Mobile laser scanning





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# Mobile laser scanning = profile scan



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$$\begin{bmatrix} x \\ y \\ z \end{bmatrix}^{g} = \begin{bmatrix} t_{x} \\ t_{y} \\ t_{z} \end{bmatrix} + R(\varepsilon_{x}, \varepsilon_{y}, \varepsilon_{z}) \cdot \begin{bmatrix} r \cdot \sin \theta \cdot \sin \varphi \\ r \cdot \sin \theta \cdot \cos \varphi \\ r \cdot \cos \theta \end{bmatrix}^{s}$$

A) Transformation from scanner to geo-referenced system

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix}^{g} = \begin{bmatrix} t_{x} \\ t_{y} \\ t_{z} \end{bmatrix} + R_{n}^{e}(L,B) \cdot R_{b}^{n}(\phi,\theta,\psi) \cdot \left( \begin{bmatrix} \Delta x \\ \Delta y \\ \Delta z \end{bmatrix} + R_{s}^{b}(\alpha,\beta,\gamma) \cdot \begin{bmatrix} 0 \\ d \cdot \sin b \\ d \cdot \cos b \end{bmatrix}^{s} \right)$$

A) Transformation from scanner to body systemB) Transformation from body to geo-referenced system















- Bias / absolute accuracy: complete scans
- Precision / relative accuracy: complete scans



# Numerical example: static







- Geo-referencing using targets
- Uncertainty: several mm

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# Numerical example: mobile





- Cloud2Cloud comparison: errors < 12 cm</li>
- Uncertainty due to geo-referencig of mobile scans: systematic GPS-errors

Courtesy: Erik Heinz Folie 66

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- Uncertainty of geo-referencing occurs when transforming local scan points into another coordiante system
- Static laser scanning
  - -Geo-referencing of complete 3D point clouds
  - -Uncertainty potentially lower
  - -Affecting bias all over the point cloud
  - -Affecting precision only at overlap of laser scans
- Mobile laser scanning
  - -Geo-referencing of individual 2D points
  - -Uncertainty potentially higher (trajectory estimation, system calibration)
  - -Affecting bias and precision all over the point cloud







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	Outliers	Systematic errors	Random errors
Appear- ance	Extremely erroneous individual measurements	One-sided effects	Gaußian distributed (positive and negative, more often small than large)
Source	Mistakes of operator, Inappropriate used of instrument	Imperfection of measurement procedure, insufficient calibration of instrument	Fluctuations not trackable by calibration, uncontrolled changes of measured object and environment
Minimi- zing	Avoidance by care and control	Calibration, math. compensation, measurem. strategies	Averaging by multiple measurements
Quanti- tative	_	Bias / absolute accuracy	Precision / relative accuracy
measure		Uncertainty	





# Minimizing systematic errors



Category	Element	Random	Systematic
Coomotiv	Distance	X	
Geometry	Angle of impact	X	x
Object	Smoothness	X	X
	Reflectance	X	X
Atura an baya	Propagation delay		x
Atmosphere	Refraction		x
Instrument	Construction	X	<b>x / x</b>
Geo- referencing	various	X	<b>x / x / x</b>

- Calibration
- Mathematical compensation
- Measurement strategies

In the end: Randomized errors v







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$$\Delta r_j^i = x_2 \sin(\theta_j^i) + x_{10} + v_{r_j^i}$$

$$\Delta \varphi_{j}^{i} = \frac{x_{1z}}{r_{j}^{i} \tan(\theta_{j}^{i})} + \frac{x_{3}}{r_{j}^{i} \sin(\theta_{j}^{i})} + \frac{x_{5z-7}}{\tan(\theta_{j}^{i})} + \frac{2x_{6}}{\sin(\theta_{j}^{i})} + \frac{x_{1n}}{r_{j}^{i}} + v_{\varphi_{j}^{i}}$$
$$\Delta \theta_{j}^{i} = \frac{x_{1n+2}\cos(\theta_{j}^{i})}{r_{j}^{i}} + \frac{x_{4}}{r_{4}} + x_{5n}\cos(\theta_{j}^{i}) - \frac{x_{1z}\sin(\theta_{j}^{i})}{r_{j}^{i}} - x_{5z}\sin(\theta_{j}^{i}) + v_{\theta_{j}^{i}}$$

 $r_j^i$ 

 $r_i^i$ 

 $\theta_{i}$




$$f_{i}(\boldsymbol{l},\boldsymbol{x}) = \boldsymbol{R}\left(\boldsymbol{\varepsilon}_{\boldsymbol{x}},\boldsymbol{\varepsilon}_{\boldsymbol{y}},\boldsymbol{\varepsilon}_{\boldsymbol{z}}\right) \cdot \begin{bmatrix}\boldsymbol{x}\\\boldsymbol{y}\\\boldsymbol{z}\end{bmatrix}_{j}^{i} + \begin{bmatrix}\boldsymbol{t}_{\boldsymbol{x}}\\\boldsymbol{t}_{\boldsymbol{y}}\\\boldsymbol{t}_{\boldsymbol{z}}\end{bmatrix} - \begin{bmatrix}\boldsymbol{x}\\\boldsymbol{y}\\\boldsymbol{z}\end{bmatrix}_{j+k}^{i} = 0$$

$$i = 1, \dots, \# \text{ Targets}$$

$$j, j + k \dots \text{ Stations}$$

$$\begin{bmatrix}\boldsymbol{x}\\\boldsymbol{y}\\\boldsymbol{z}\end{bmatrix} = \begin{bmatrix}\boldsymbol{r} \cdot \sin\theta \cdot \sin\varphi\\\boldsymbol{r} \cdot \sin\theta \cdot \cos\varphi\\\boldsymbol{r} \cdot \cos\theta\end{bmatrix}$$

$$r = \bar{r} + \Delta r$$

$$\varphi = \bar{\varphi} + \Delta \varphi$$

$$\theta = \bar{\theta} + \Delta \theta$$

(Registration – for j + k = 2 – or Bundle Block Adjustment)



## **Measurement configuration**





III

.....





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#### **Calibration field of University of Bonn**









Parameter	Leica P50	Imager 5016
$x_{10}$ [mm] (Nullpunktabw.)	-0.03	0.37
<i>x</i> <sub>2</sub> [mm]	-0.14	0.03
<i>x</i> <sub>1<i>z</i></sub> [mm]	0.14	0.59
<i>x</i> <sub>3</sub> [mm]	-0.03	-0.15
$x_7$ [ " ] (Kippachsabw.)	-33.50	26.49
x <sub>6</sub> [ " ] (Zielachsabw.)	3.41	0.40
<i>x</i> <sub>1<i>n</i></sub> [mm]	0.01	-0.45
$x_4$ [ " ] (Höhenindexabw.)	4.51	-6.44
x <sub>5n</sub> ["]	5.38	12.74
x <sub>5z</sub> [ '' ]	-16.78	2.66

 $\hat{\sigma} \approx 0.5'' / \hat{\sigma} \approx 0.5mm$  (high correlations!)





### Leica P50: Face 1 minus Face 2







#### Z+F Imager 5016: Face 1 minus Face 2







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#### **Two-face scans**







#### Face 1 minus Face 2









- Two-face scan reveals systematic errors in the point cloud (anyway contained)
- Cause are instrumental errors whose sign switches between faces

Description
Vertical beam offset
Horizontal axis offset
Mirror offset
Vertical index offset
Horizontal beam tilt
Mirror tilt
Horizontal axis error (tilt)

#### => Minimizing by modelling



- Areal modeling per face + averaging (of parameters)
- Areal modelling combinedly







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- **6.3.2 Geo-referencing errors**



# g A) Calibrating the mobile mapping system

- Calibrating single sensors: GNSS, IMU, laser scanner, ...
- Calibrating geometrical relationships => system calibration (T<sup>b</sup><sub>s</sub>)
- Calibrating temporal relationships => sensor synchronization (t<sub>s</sub>)

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# **B)** Compensation by smoothing





 Smoothing for mobile scanning ≈ network adjustment for static laser scanning









 Static laser scanning: repetative scanning of identical targets from several stations



• Mobile laser scanning: doubled navigation to identical objects



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- Uncertainty of point cloud might be minimized by
  - -Calibration
  - -Mathematical compensation
  - –Measurement strategies
- Choice depends on error source
- Remaining uncertainty might be considered as only containing randomized (rest) errors
- Quantification in variance-covariance matrix  $\boldsymbol{\Sigma}_{ll}$









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- Which error sources make a point cloud uncertain?
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- How can they be minimized?

